

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b), 1.97(c), or 1.97(d)			Attny. Docket No. 2002B130/2
In Re Application of: Baiyi Zhao et al.			
U.S. Serial No. 10/693,584	Filing Date 10/24/03	Examiner Unassigned	Group Art Unit 1755

IFW

Address to:
**Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**

37 CFR § 1.97(b)

- ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

37 CFR § 1.97(c)

- ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); after three months of the date of entry of the national stage as set forth in § 1.491 in an international application; after the mailing of a first Office Action on the merits; or after the mailing of a first Office Action after the filing of a request for continued examination under § 1.114, but before the mailing date of:

1. a Final Action under § 1.113,
2. a Notice of Allowance under § 1.311, or
3. an action that otherwise closes prosecution in the application,

and is accompanied by either:

- ☐ the fee as set forth in § 1.17(p), or
- ☐ the following statement under § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

37 CFR § 1.97(d)

- ☐ The Information Disclosure Statement submitted herewith is being filed after a Final Action under § 1.113, a Notice of Allowance under § 1.311, or an action that otherwise closes prosecution in the application, but before, or simultaneously with, the payment of the issue fee. Submitted herewith is the fee as set forth in § 1.17(p) and the following statement under 37 CFR § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Applicant hereby request consideration of the Information Disclosure Statement, USPTO form 1449, submitted herewith.

☒ Legible copies of the references are enclosed.

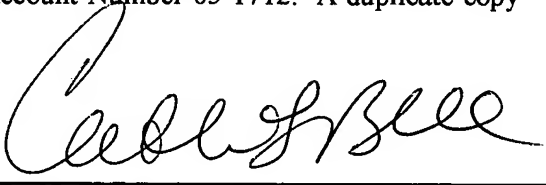
☐ This application is a:

- ☐ Continuation / Divisional,
- ☐ Continuation-in-Part,

of U.S.S.N. _____. Copies of the cited references are ☒ enclosed; ☐ not enclosed. References are available in the parent application(s) if not enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment, to Deposit Account Number 05-1712. A duplicate copy of this form is enclosed.

June 11, 2004
Date



Catherine L. Bell
Registration No. 35,444
ExxonMobil Chemical Company
Law Technology
P.O. Box 2149
Baytown, Texas 77522-2149
(281) 834-5982 Voice
(281) 834-2495 Facsimile



INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

2002B130/2

SERIAL NO.

10/693,584

APPLICANT

Baiyi Zhao et al.

FILING DATE

10/24/03

GROUP

1755

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AF	EP 0 479 541 A1	04/08/92	Europe	C07F	15/00		
	AG	WO 00/02887 A2	01/20/00	PCT	C07F	9/02		
	AH	WO 01/38337 A2	05/31/01	PCT	C07H	-		
	AI	JP 09255713	09/30/97	Japan (Abstract only)				

OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)

AI	Dieter Vogt, "Oligomerization of Ethylene to Higher Linear α -Olefins", Ed. Applied Homogeneous Catalysis with Organometallic Compounds, A Comprehensive Handbook", Vol. 1, VCH Verlagsgesellschaft mbH, Weinheim, 1996, pp. 245-258
AJ	B. Elvers, et al., "Hydrocarbons", Ed. Ullmann's Encyclopedia of Industrial Chemistry, Vol. A13, VCH Verlagsgesellschaft mbH, Weinheim, 1989, pp. 243-247 and 275-276
AK	Gandelman, Mark et al, "The First Observation and Kinetic Evaluation of a Single Step Metal Insertion into a C-C Bond", Journal of the American Chemical Society (2000), 122(40), pp. 9848-9849, XP002241620
AL	Kamigaito, Masami et al, "Transition metal-catalyzed living radical polymerization: latest advances", American Chemical Society, Division of Polymer Chemistry, (2002), 43(2), 3-4, 2002 (Abstract)

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next comment to applicant

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*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	USSN10/692827	10/24/03	Zhao et al.			
	AB						
	AC						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AF	EP 0 479 541 A1	04/08/92	Europe	C07F	15/00	
	AG	WO 00/02887 A2	01/20/00	PCT	C07F	9/02	
	AH	WO 01/38337 A2	05/31/01	PCT	C07H	-	
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